

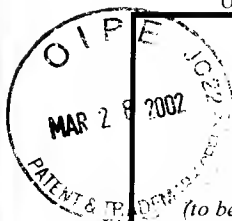


04-02-02

PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/997,158
		Filing Date	11/28/2001
		First Named Inventor	Stephen E. Savas
		Group/Art Unit	Unassigned
		Examiner Name	Unassigned
Total Number of Pages in This Submission	9	Attorney Docket Number	14912.833

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Version with Markings Showing Changes <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 40px; width: 100%;"></div>
Remarks <div style="border: 1px solid black; height: 40px; width: 100%;"></div>		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	Kevin J. Simons, Reg. No. 45,110, WILSON SONSINI GOODRICH & ROSATI		
Signature	<i>Kevin Simi</i>		
Date	3-28-02	Customer Number:	021971

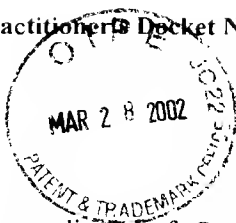
CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and addressed to: Commissioner for Patents, Washington, D.C. 20231, on this date: 3-28-02

Express Mail Label EL 757544763 US

Typed or printed name	Linda Faye		
Signature	<i>Linda Faye</i>	Date	3-28-02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Savas et al.
Serial No.: 09/997,158
Filed: 11/28/2001
For: Systems and Methods for Enhancing Plasma
Processing of a Semiconductor Substrate

Group No.: Unassigned
Examiner: Unassigned
Confirmation No.: 3614

Commissioner for Patents
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 03/07/2002. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the Declaration for Utility or Design Patent Application (37 CFR 1.63) for this application.

COMPLETION FEES

1. Filing Fee: Original patent application (37 CFR 1.16(a))	\$
2. Fee for Claims: Each claim in excess of 20 (37 CFR 1.16(c))	\$
3. Fee for Claims: Each independent claim in excess of 3 (37 CFR 1.16(b))	\$
4. Surcharge Fee: Late payment of filing fee (37 CFR 1.16(e))	\$130
5. Assignment (See "ASSIGNMENT COVER SHEET".)	\$
6. Total completion fees	\$130

EXTENSION OF TIME

- III. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.
Fee Due: \$0

TOTAL FEE DUE

- IV. The total fee due is: Completion fees \$130 Extension of Time Fees \$0 Corrected Filing Receipt Fees \$0
TOTAL FEE DUE \$130

PAYMENT OF FEES

- V. Charge Account No. 23-2415 (14912.833) in the amount of \$130.
Please charge Account No. 23-2415 (14912.833) for any fees that may be due by this paper.


Kevin J. Simons, Reg. No. 45,110

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UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAME OF APPLICANT	ATTORNEY DOCKET NUMBER
09/997,158	11/28/2001	Stephen E. Savas	14912.833

021971

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CONFIRMATION NO. 3614

FORMALITIES LETTER



OC00000007592809

Date Mailed: 03/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE